



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231 on November 7, 2002.

Joyce Moore

Name

Signature

*Joyce Moore*

6P/1645  
#2  
RECEIVED  
NOV 14 2002  
TECH CENTER 1600/2900

Case 8083

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of

**HIROTAKA UCHIYAMA, ET AL.**

Serial No.: 09/855,816

Filed: May 15, 2001

Confirmation No.: 9687

Group Art Unit: 1645

Examiner: Not Yet Assigned

For: Compositions Comprising Cyclodextrin

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, record is being made below in a Form PTO/SB08 of documents which the Patent Office may wish to consider in connection with examination of the above-identified patent application. It is respectfully requested that the cited documents be carefully considered by the Examiner and made of record in this case. As provided in §1.97(g), no representation is made or intended that a thorough art search was made. As provided in 37 C.F.R. §1.97(h), this Information Disclosure Statement does not constitute an admission of any kind, and specifically is not an admission that the documents listed on attached form PTO/SB08 are, or are considered to be, material to the patentability of the above-identified patent application, as defined in 37 C.F.R. §1.56(b).

1. ☐ 37 C.F.R. §1.97 (b)(1) - U.S. Direct (within 3-months of filing a regular application or converted provisional)

This information disclosure statement, submitted under 37 C.F.R. §1.97 (b)(1), is being filed within three months of the filing date of a national application. Therefore, no fee is believed to be due.

RECEIVED  
NOV 20 2002  
TC 1700

2. ☐ 37 C.F.R. §1.97 (b)(2) - Via PCT (within 3 mo. of Nat'l Stage Entry)

This information disclosure statement, submitted under 37 C.F.R. §1.97 (b)(2), is being filed within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application. Therefore, no fee is believed to be due.

3. ☐ 37 C.F.R. §1.97 (b)(3) - (>3 mo. after filing direct or nat'l stage entry, but before 1<sup>st</sup> O.A.)

This information disclosure statement is being submitted under 37 C.F.R. §1.97 (b)(3). Applicants have not received an Office Action on the merits in the present application. Therefore, no fee is believed to be due. However, in the event that this paper is crossing in the mail with a first Office Action on the merits, authorization is hereby given to charge the required fee pursuant to 37 C.F.R. §1.97(c) and 37 C.F.R. §1.17(p) to Deposit Account No. 16-2480 in the name of The Procter & Gamble Company. A duplicate of this letter is enclosed to facilitate charging of the fee, if necessary.

**THE FOLLOWING IS ADDITIONAL INFORMATION PERTAINING TO (2) OR (3) MARKED WITH AN (X) ABOVE.**

(a) ☐ The Notification of Acceptance of this Application Under 35 U.S.C. §371 indicates that both a copy of the International Search Report and copies of the references cited therein are present in the national stage file. In accordance with MPEP §1893.03(g), it is respectfully requested that the Examiner note the consideration of these references in the first Office Action via the PTO-892 form.

(b) ☐ The Notification of Acceptance of this Application Under 35 U.S.C. §371 indicates that a copy of the International Search Report is present in the national stage file. Copies of the references cited in that report are enclosed.

(c) ☐ The Notification of Acceptance of this Application Under 35 U.S.C. §371 does not indicate that a copy of the International Search Report and copies of the references cited are present in the national stage file. Copies of the International Search Report and references are attached.

4. ☐ 37 C.F.R. §1.97 (b)(4) - (before the mailing of a first Office Action after the filing of a request for continued examination under §1.114)

This information disclosure statement, submitted under 37 C.F.R. §1.97(b)(4), is being filed with the Request for Continued Examination (RCE) under 37 C.F.R. §1.114.

5. ☐ Information to be Considered with CPA Filing. This information disclosure statement is being filed with a Continued Prosecution Application (CPA) filed under 37 CFR 1.53(d).

6. ☒ 37 C.F.R. §1.97(c) with fee payment - (use after 1<sup>st</sup> Office Action & before Final Office Action or Notice of Allowance)

This information disclosure statement is being submitted under 37 C.F.R. §1.97(c). Applicant(s) have not received a final action under 37 C.F.R. §1.113, a notice of allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in the application (e.g., *Ex parte Quayle*) as of the date of this submission. Applicant(s) elect to pay the fee set forth in 37 C.F.R. §1.17(p). Please charge the fee set forth in 37 C.F.R. §1.17(p) to Deposit Account Number 16-2480 in the name of The Procter & Gamble Company. A duplicate copy of this letter (or a fee transmittal form) is enclosed to facilitate the charging of the fee.

**ADDITIONAL ITEMS TO BE NOTED BY THE EXAMINER:**

☐ (1) Copies of the cited references were previously cited by or submitted to the USPTO in prior application Case No. \_\_\_\_, U.S. Patent Application Serial No. \_\_, filed \_\_. Applicants claim priority to said application under 35 U.S.C. §120. Accordingly, copies of those documents are not provided with this Statement, pursuant to 37 C.F.R. §1.98(d).

OR

☒ (2) Copies of the cited documents are enclosed.

OR

☐ (3) Copies of all said documents, except document No.'s \_\_\_\_, were submitted and considered in parent application U.S. Patent Application Serial No. \_\_\_\_, filed \_\_\_\_\_. Applicant(s) claim priority to said application under 35 U.S.C. §120. Accordingly, copies of document No.'s \_\_\_\_ are not provided with this Statement, pursuant to 37 C.F.R. §1.98(d). Copies of document No.'s \_\_\_\_ are enclosed. It is respectfully requested that the cited documents be carefully considered by the Examiner and made of record in this case.

☐ (4) Pursuant to 37 C.F.R. §1.98(c), a concise explanation of the relevance of each cited reference that is not in the English language is provided.

☐ (5) Applicants also respectfully request the Examiner to consider and make of record the copending applications listed on the attached page.

☒ Additional information is attached.

Respectfully submitted,

By



Jeffrey V. Pamber  
Attorney for Applicants  
Registration No.31,148  
(513) 627-4597

November 7, 2002

Customer No. 27752

## COPENDING APPLICATIONS

Entire copies of all co-pending applications (or the portion of the application and claims that caused it to be cited) must be sent with the IDS (see 37 CFR 1.98(a)(2)(iii))

<u>Atty. Docket No.</u>	<u>Serial Number</u>	<u>Inventor(s)</u>	<u>Filing Date</u>
8084	09/855,440	Uchiyama, et al.	May 15, 2001
8085	09/855,337	Uchiyama, et al.	May 15, 2001
8086	09,855,329	Uchiyama, et al.	May 15, 2001

[ones that are pending at time of this filing; others that have issued get cited on the PTO/SB08.]

**RECEIVED**

NOV 20 2002

**TC 1700**

CONCISE EXPLANATION OF REFERENCES IN LANGUAGE OTHER THAN  
ENGLISH

Japanese Patent Document EP 57046922, according to the English language abstract, relates to an aqueous solution, which can be stored for a long period without precipitation.

Japanese Patent Document JP 62032193, according to the English language abstract, is a detergent composition containing cationised cyclodextrin and whole amounts of anionic or amphoteric surfactants.

Japanese Patent Document EP 01011198, according to the English language abstract, refers to obtaining a deodorant-cleanser composition, by separately impregnating microporous beads with a liquid deodorant blend, one of the components of which comprises an aqueous solution of cyclodextrin, putrefaction inhibitor and detergent and blending the impregnated microporous beads.

Japanese Patent Document JP 01020849, according to the English language abstract, refers to a deodorizing material that consists of an aqueous cyclodextrin solution supported by porous material.

Japanese Patent Document JP 1256597, according to the English language abstract, refers to a powdered detergent composition and method to produce a powdered detergent composition, which includes adding a zeolite thereto to suppress malodor emissions from the detergent.

Japanese Patent Document EP 02034693, according to the English language abstract, refers to a detergent composition for clothing to improve the rinsing properties by incorporating a higher fatty acid salt, a poloxyethylene alkyl ether, and cyclodextrin into composition which is made of a synthetic anionic surface active agent as principal detergent base.

Japanese Patent Document JP 07316060, according to the English language abstract, refers to eye drops, which are stable over a long period. The eye drops compose an ester type nonionic surfactant glycyrrhizinic acid or its derivative, and more than one cyclodextrin or its derivatives.

Japanese Patent Document JP 2000290690, according to the English language abstract, refers to a cleaning composition with deodorizing and cleaning property against stains and unfavorable food odor. The composition comprises a polyoxyethylene alkyl ether-sulfuric acid ester salt, or tertiary amine oxide, a cyclodextrin compound, and flavor compounds.